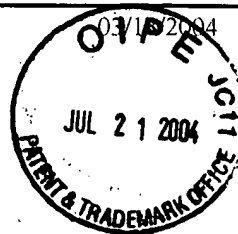**UNITED STATES PATENT AND TRADEMARK OFFICE**

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/803,164	03/18/2004	David Raymond Lutz	550-537

23117
NIXON & VANDERHYE, PC
1100 N GLEBE ROAD
8TH FLOOR
ARLINGTON, VA 22201-4714

JUL 2 1 2004

CONFIRMATION NO. 4134

FORMALITIES LETTER

OC000000012828674

Date Mailed: 06/01/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/22/2004 HALI11   00000115 10803164
01 FC:1001   770.00 OP
02 FC:1051   130.00 OP
03 FC:1202   36.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
  Applicant must submit $ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
  A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of **$36** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **$936** for a Large Entity

- **$770** Statutory basic filing fee.
- **$130** Late oath or declaration Surcharge.

- Total additional claim fee(s) for this application is **$36**

  - **$36** for **2** total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

A copy of this notice __MUST__ be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

LUTZ et al

Serial No.   10/803,164

Filed: March 18, 2004

Attention: Application Branch

Atty. Dkt.   550-537

Date: July 21, 2004

For: DATA PROCESSING APPARATUS AND METHOD FOR DETERMINING A PROCESSING PATH TO PERFORM A DATA PROCESSING OPERATION ON INPUT DATA ELEMENTS

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

The attached <u>completes</u> filing of the above-identified patent application:

☐ **Correspondence Address Indication Form Attached.**

☐ Signed Rule 63 Declaration alone, ☐ Copy of Declaration from prior application alone, <u>OR</u>

☒ Signed Declaration plus attached copy of originally filed specification/drawings.

☒ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.

☒ Record the attached assignment to ARM LIMITED, Cambridge, United Kingdom and return to the undersigned.

☐ Attached is a Power of Attorney.

☐ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:

Application Number	Country	Day/Month/Year Filed

respectively.

☐ Certified copy(ies) of foreign application(s) is/are attached.

☐ Certified copy(ies) filed on _____ in prior application no. _____, filed _____.

☐ Applicant claims "small entity" status.   ☐ "Small entity" statement attached.

☐ Please enter the attached preliminary amendment **prior** to calculation of filing fee.

☐ Also attached: ☐ **Information Disclosure Statement;** ☐ **Nucleotide and/or Amino Acid Sequence Submission;** ☐ **Other:**

Fees due are calculated below:

Basic filing fee						$	770.00
Total Effective claims	22	- 20 =	2	x $	18.00	$	36.00
Independent claims	2	- 3 =	0	x $	86.00	$	0.00
If any proper multiple dependent claims now added for first time, add $290.00 (ignore improper)						$	0.00
					FILING FEE	$	806.00

Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) ($110.00/1 month; $420.00/2 months; $950.00/3 months; $1480.00/4 months) $

Surcharge ($130.00) if Declaration or filing fee first now submitted	$	130.00
English translation of specification and claims ($130.00)	$	0.00
FIRST SUBTOTAL	$	936.00
If "small entity," enter half (½) of subtotal and subtract	-$	0.00
SECOND SUBTOTAL	$	936.00
Assignment Recording Fee ($40.00)	$	40.00
TOTAL FEE DUE	$	976.00
Check enclosed (Pre-Bill)*	$	976.00
Check enclosed (non Pre-Bill)*	$	
TOTAL FEE ENCLOSED	$	**976.00**

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any <u>deficiency</u>, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140.** A <u>duplicate</u> copy of this sheet is attached.

1100 North Glebe Road, 8th Floor
Arlington, Virginia 22201-4714
Telephone: (703) 816-4000
Facsimile: (703) 816-4100
SCS:kmm

NIXON & VANDERHYE P.C.,
By Atty: Stanley C. Spooner, Reg. No. 27,393

Signature: _____

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